



23351

PATENT, TRADEMARK OFFICE

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1-22-02

2834\$

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

## Complete if Known

Application Number	08/179,555 08/179,555
Filing Date	January 24, 1997
First Named Inventor	Richard F. Hellbaum
Examiner Name	Budd M.
Group Art Unit	2834
Attorney Docket No.	LAR-15348-2

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 14-0116

Deposit Account Name: NASA

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims

Total Claims Independent Claims: 20\*\* = ☐ X ☐ = ☐

Multiple Dependent Claims: 3\*\* = ☐ X ☐ = ☐

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petition to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording and payment assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection	
149 740	249 370	For each additional invention to be examined (37 CFR 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			920.00

## SUBMITTED BY

Name (Print/Type)	Robin W. Edwards	Registration No. (Attorney/Agent)	39,179	Telephone	864-3230
Signature	Robin W. Edwards	Date	12/19/01		

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NASA-Case No. LAR 15348-2



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PATENT APPLICATION

#30/Ext. ③  
w/

Response

7-16-02

Hawkins

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Richard F. Hellbaum et al.

Serial No.: 09/797,553

Filed: January 24, 1997

:

: Examiner: Budd, M.

: Art Unit: 2834

:

For: THIN LAYER COMPOSITE UNIMORPH FERROELECTRIC DRIVER  
AND SENSOR

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Date: 12/19/01

Robin W. Edwards

RESPONSE

Assistant Commissioner for Patents

Washington, DC 20231

Sir:

RECEIVED

APR 24 2002

OFFICE OF THE SPECIAL  
PROGRAMS EXAMINER

RECEIVED  
FEB 14 2002  
TC 1700

Responsive to the Office Action mailed June 19, 2001, please consider the following remarks:

01/28/2002 DTESSEM1 00000302 140116 09797553

01 FC:117 920.00 CH

REMARKS

Claims 17-24 stand rejected under 35 U.S.C. 103 as unpatentable over Corwin in view of Haertling or vice versa.

(1) The Examiner argues that it would have been obvious to one of ordinary skill in the art that the prestress layer could be attached to either the concave or convex side of the piezoelectric element. More specifically, the Examiner asserts